

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	10/612,239	
			Filing Date	July 1, 2003	
			First Named Inventor	KUO, ERIC	
			Art Unit	3732	
			Examiner Name	WILSON, JOHN J.	
Sheet	1	of	2	Attorney Docket Number	018563-006700US

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
JW	AA	US-5,882,192		03/16/1999	Bergersen	
JW	AB	US-2003/008259		01/09/2003	Shelton et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
JW	AC	PCT	WO 92/08419		05-29-1993	Minnesota Mining & Mfg.		<input type="checkbox"/>
JW	AD	DE	7411122U		07-18-1974	Heller		<input type="checkbox"/>

Examiner Signature	/John Wilson/ (09/28/2006)	Date Considered	09/28/2006
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet	2	of	2	Attorney Docket Number	018563-006700US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JW	AE	"Important Tip About Wearing the Red White & Blue Active Clear Retainer System," Allesee Orthodontic Appliances-Pro Lab, 1 page.	<input type="checkbox"/>
	AF	"The Choice Is Clear: Red, White & Blue . . . The Simple, Affordable, No-Braces Treatment," Allesee Orthodontic Appliances-Pro Lab product information for doctors, < http://ormco.com/aoa/applianceservices/RWB/doctor.html >, 5 pages (May 19, 2003).	<input type="checkbox"/>
	AG	"The Choice is Clear: Red, White & Blue . . . The Simple, Affordable, No-Braces Treatment," Allesee Orthodontic Appliances-Pro Lab product information for patients, < http://ormco.com/aoa/applianceservices/RWB/patients.html >, 2 pages (May 19, 2003).	<input type="checkbox"/>
	AH	"The Choice Is Clear: Red, White & Blue . . . The Simple, Affordable, No-Braces Treatment," Allesee Orthodontic Appliances-Pro Lab product information, 6 pages (2003).	<input type="checkbox"/>
	AI	"The Red, White & Blue Way to Improve Your Smile!" Allesee Orthodontic Appliances-Pro Lab product information for patients, 2 pages.	<input type="checkbox"/>
	AJ	"You May Be A Candidate For This Invisible No-Braces Treatment," Allesee Orthodontic Appliances-Pro Lab product information for patients, 2 pages.	<input type="checkbox"/>
	AK	DuraClear TM product information, Allesee Orthodontic Appliances-Pro Lab, 1 page.	<input type="checkbox"/>
	AL	MOLES, Randall C., "Correcting Mild Malalignments - as Easy as One, Two, Three," AOA/Pro Corner, 2 pages.	<input type="checkbox"/>
JW	AM	U.S. Patent Application No. 11/285,707, for "Dental Appliance Sequence Ordering System and Method," by Eric E. Kuo (Atty. Docket No. 018563-006710US).	

Examiner Signature	/John Wilson/ (09/28/2006)	Date Considered	09/28/2006
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.